

030304

13281 U.S. Pat.

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PTO/SB/05 (11-00)

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.		MERCK-1972 D2
First Inventor		Mark VERRALL et al.
Title	OPTICAL RETARDATION FILM	
Express Mail Label No.		

#### **APPLICATION ELEMENTS**

*See MPEP chapter 600 concerning utility patent application contents.*

<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <span style="border: 1px solid black; padding: 2px;">51</span>] <i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (<i>if filed</i>)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C.113) [Total Sheets <span style="border: 1px solid black; padding: 2px;">7</span>] [</p> <p>5. Oath or Declaration [Total Pages <span style="border: 1px solid black; padding: 2px;">5</span>] [</p> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for a continuation/divisional with Box 18 completed)</i></li> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (<i>if applicable, all necessary</i>)</p> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul> <p><b>ACCOMPANYING APPLICATIONS PARTS</b></p> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other: _____</p>
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**18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:**

Continuation       Divisional       Continuation-in-part (CIP)

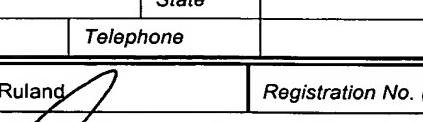
of prior application No: 09 / 859,482

*Prior application information:* Examiner Sow Fun Hom

*Group / Art Unit:* 1772

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

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Address				
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Country	Telephone	Fax		
Name (Print/Type)	James E. Ruland		Registration No. (Attorney/Agent)	37,432
Signature			Date	March 3, 2004

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U.S.PTO

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 770)

Complete If Known	
Application Number	Divisional of 09/859,482
Filing Date	March 3, 2004
First Named Inventor	Mark VERRALL et al.
Examiner Name	
Group / Art Unit	

Attorney Docket No. MERCK-1972 D2

METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)																																																																																																																																																																																			
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <input type="text" value="13-3402"/></p> <p>Deposit Account Name <input type="text" value="Millen, White, Zelano &amp; Branigan, P.C."/></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>					<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65 Surcharge - late filing fee or oath</td><td><input type="text"/></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25 Surcharge - late provisional filing fee or cover sheet.</td><td><input type="text"/></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130 Non-English specification</td><td><input type="text"/></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520 For filing a request for reexamination</td><td><input type="text"/></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920* Requesting publication of SIR prior to Examiner action</td><td><input type="text"/></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840* Requesting publication of SIR after Examiner action</td><td><input type="text"/></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55 Extension for reply within first month</td><td><input type="text"/></td></tr> <tr><td>1252</td><td>410</td><td>2252</td><td>205 Extension for reply within second month</td><td><input type="text"/></td></tr> <tr><td>1253</td><td>930</td><td>2253</td><td>465 Extension for reply within third month</td><td><input type="text"/></td></tr> <tr><td>1254</td><td>1,450</td><td>2254</td><td>725 Extension for reply within fourth month</td><td><input type="text"/></td></tr> <tr><td>1255</td><td>1,970</td><td>2255</td><td>985 Extension for reply within fifth month</td><td><input type="text"/></td></tr> <tr><td>1401</td><td>320</td><td>2401</td><td>160 Notice of Appeal</td><td><input type="text"/></td></tr> <tr><td>1402</td><td>320</td><td>2402</td><td>160 Filing a brief in support of an appeal</td><td><input type="text"/></td></tr> <tr><td>1403</td><td>280</td><td>2403</td><td>140 Request for oral hearing</td><td><input type="text"/></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510 Petition to institute a public use proceeding</td><td><input type="text"/></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55 Petition to revive – unavoidable</td><td><input type="text"/></td></tr> <tr><td>1453</td><td>1,300</td><td>2453</td><td>650 Petition to revive – unintentional</td><td><input type="text"/></td></tr> <tr><td>142</td><td>1,300</td><td>242</td><td>650 Utility issue fee (or reissue)</td><td><input type="text"/></td></tr> <tr><td>1502</td><td>470</td><td>2502</td><td>235 Design issue fee</td><td><input type="text"/></td></tr> <tr><td>1503</td><td>630</td><td>2503</td><td>315 Plant issue fee</td><td><input type="text"/></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130 Petitions to the Commissioner</td><td><input type="text"/></td></tr> <tr><td>1807</td><td>130</td><td>1807</td><td>130 Petitions related to provisional applications</td><td><input type="text"/></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180 Submission of Information Disclosure Stmt</td><td><input type="text"/></td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40 Recording each patent assignment per property (times number of properties)</td><td><input type="text"/></td></tr> <tr><td>1809</td><td>750</td><td>2809</td><td>375 Filing a submission after final rejection (37 CFR § 1.129(a))</td><td><input type="text"/></td></tr> <tr><td>1810</td><td>750</td><td>2810</td><td>375 For each additional invention to be examined (37 CFR § 1.129(b))</td><td><input type="text"/></td></tr> <tr><td>1801</td><td>750</td><td>2801</td><td>375 Request for Continued Examination (RCE)</td><td><input type="text"/></td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900 Request for expedited examination of a design application</td><td><input type="text"/></td></tr> <tr> <td colspan="5">Other fee (specify) _____</td> <td colspan="5"><input type="text"/></td> </tr> <tr> <td colspan="5">*Reduced by Basic Filing Fee Paid</td> <td colspan="5">SUBTOTAL (3) <input type="text"/></td> </tr> <tr> <td colspan="5">**or number previously paid, if greater; 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SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	James E. Ruland	Registration No. Attorney/Agent	37,432	Telephone (703) 243-6333
Signature				Date March 3, 2004

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